IRI Litigation Summit 2013 – Agenda
Co-moderated by Sutherland Asbill & Brennan LLP and Jorden Burt LLP

Tuesday, June 18

7:30 am – 8:15 am  Breakfast

8:15 am – 8:30 am  Welcome Remarks

Markham Leventhal, Partner, Jorden Burt LLP
Phillip E. Stano, Partner, Sutherland Asbill & Brennan LLP

8:30 am – 9:45 am  Unclaimed Property – Navigating the Quagmire

Moderator: Phillip E. Stano, Partner, Sutherland Asbill & Brennan LLP
Panelists: Steuart H. Thomsen, Partner, Sutherland Asbill & Brennan LLP

In recent years, insurers have become the subject of significant regulatory activity as well as lawsuits involving state unclaimed property laws and related issues. This session will provide a comprehensive review of all unclaimed property regulatory, legislative and litigation developments. Panelists will focus on unclaimed property audit trends and defenses, related multi-state market examinations, and recent lawsuits against and by insurers.

9:45 am – 11:00 am  Latest Developments and Trends in Significant Annuity and Life Insurance Litigation

Moderator: Markham Leventhal, Partner, Jorden Burt LLP
Panelists: Roland C. Goss., Partner, Jorden Burt LLP
Stephen Jorden, Partner, Jorden Burt LLP

Recent annuity and life insurance market conduct and sales practices actions have involved individual and class claims such as the suitability of sales to seniors, "illusory bonus” claims, retained asset account claims, and cost of insurance and related mortality charge claims. This session will address the various products and substantive claims that have been the subject of these actions. Panelists also will examine the evolving regulatory and litigation landscapes that insurers face when confronted with stranger-originated life insurance and annuity transactions.
11:00 am – 11:15 am  
**Break**

11:15 am – 12:30 pm  
**FINRA Arbitration and Enforcement Actions**

Moderators: Phillip E. Stano, Partner, Sutherland Asbill & Brennan LLP  
Markham Leventhal, Partner, Jorden Burt LLP  

Panelists: W. Glenn Merten, Partner, Jorden Burt LLP  
S. Lawrence Polk, Partner, Sutherland Asbill & Brennan LLP  
Brian L. Rubin, Partner, Sutherland Asbill & Brennan LLP  
Noah Sorkin, General Counsel, AIG Advisor Group  

2012 saw many new developments in FINRA arbitration and enforcement actions. This session will provide a comprehensive review of recent arbitration rule changes and enforcement actions. Panelists will focus on issues including suitability, due diligence, class action bars and advertising claims.

12:30 pm – 1:45 pm  
**Lunch On Your Own**

1:45 pm – 3:00 pm  
**Class Action Litigation and Supreme Court Decisions**

Moderators: Markham Leventhal, Partner, Jorden Burt LLP  
Phillip E. Stano, Partner, Sutherland Asbill & Brennan LLP  

Panelists: Thomas W. Curvin, Partner, Sutherland Asbill & Brennan LLP  
Wilson G. Barmeyer, Associate, Sutherland Asbill & Brennan LLP  

The U.S. Supreme Court continues to be active in developing significant class action jurisprudence. This panel will explore the latest Supreme Court decisions, and will discuss recent Circuit Court rulings affecting class action procedure, and cutting edge issues involving use of expert witnesses, Rule 23(b)(2) classes, issue certification, and class action settlements.

3:00 pm – 3:15 pm  
**Break**

3:15 pm – 4:30 pm  
**Recent Developments and Trends in Retirement Plan Litigation**

Moderators: Phillip E. Stano, Partner, Sutherland Asbill & Brennan LLP  
Markham Leventhal, Partner, Jorden Burt LLP  

Panelists: W. Mark Smith, Partner, Sutherland Asbill & Brennan LLP  
Michael A. Valerio, Partner, Jorden Burt LLP  

Developments continue in revenue sharing and excessive fee class actions involving ERISA-governed retirement plans, including decisions in existing actions and newly docketed matters. This session will provide a timely update on these and other types of fiduciary breach cases brought against retirement product and service providers, as well as address recent regulatory developments affecting the industry.

4:30 pm – 4:45 pm  
**Closing Remarks**

*Panelists are subject to change*